MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 20231 -1450

NEW APPLICATION TRANSMITTAL

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on <u>March 19, 2004</u> "Express Mail Post Office to Addressee" Mailing Label Number <u>EU381348128US</u> in an envelope addressed to the: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nancy S. Dedek
(Name of person mailing paper)
(Signature of person mailing paper)

Inventor(s): Matthew R. Sivik and Steven R. Twining

Title: Functionalized Polymer Composition for Grease

1. Type of Application

☑ Original ☐ Continuation

☐ Divisional ☐ Continuation-in-part (CIP)

2. Fee Calculation (37 CFR 1.16)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CLAIMS AS FILED				
Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$770.00	
Total Claims (37 CFR 1.16(c)) 12-20 =	o X	\$18.00	0	
Independent Claims (37 CFR 1.16(b)) 2 - 3 =	0 X	\$86.00	0	
Multiple dependent claim(s), if any (37 CFR 1.16(d))	None	\$290.00	0	

□ Amendment cancelling extra claims enclosed.

3. Fee Payment Being Made and Authorization to Charge Fees

 ☑ basic filing fee
 \$ 770.00

 ☑ recording assignment (\$40.00; 37 CFR 1.21(h))
 \$ 40.00

☑ Charge Account No. 12-2275 in the amount of \$ 810.00 . A duplicate of this transmittal is attached.

4.	Papers E	nclosed Which Are Re	quired For Filing Date Under 37 CFR 1.53(b) Application		
	22	Pages of specification	Pages of Abstract		
	3_	Pages of claims	0 Sheets of drawing (FIGS. 1-5)		
5.	5. Additional papers enclosed				
	☐ Pre	liminary Amendment	☑ Foreign/"Other" Cited References		
		rmation Disclosure	□ Other		
		tement RM PTO–1449	☑ Return postcard receipt		
		NIVI F 10-1449	Incorporation by reference statement of previous appln.		
6.	Declarati	ion or oath			
		wly executed and closed	☐ Unexecuted and enclosed ☐ Copy from prior application (for continuation/divisional)		
7 .	Assignm	ent	·		
	⊠ Ana Ohi	assignment of the invento, 29400 Lakeland Boul	tion to The Lubrizol Corporation, a corporation of the State of evard, Wickliffe, Ohio 44092-2298, is attached.		
		separate "Cover Sheet blication" is attached.	for Assignment (Document) Accompanying New Patent		
8.	Author	Authorization to Charge Additional Fees			
		aper and during t	eby authorized to charge the following additional fees by ne entire pendency of this application to Account		
	⊠ 37	7 CFR 1.16(a)-(e)			
	☑ 37 CFR 1.17 (application processing fees)				
charge any deficiency in the above fee or credit any overpayment to Deposit Account No. 12-2275					
			Total fees enclosed \$ 810.00		
9.	Benefit of Prior U.S. Application(s) (35 USC 120) ☐ The new application being transmitted claims the benefit of prior ☐ U.S. application(s). Enclosed find an additional Added Page for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed				
	□ Plu	us an Additional Ad	ded Pages For Papers Referred To In Item 5 Above		
☑ This transmittal ends with this page.					
			Samuel & Shart		
Reg. No. 31,360		360	Signature of Attorney/Agent		
Telephone No. 440-347-5072 Facsimile No. 440-347-1110			Teresan W. Gilbert Type or print name of Attorney The Lubrizol Corporation		
			20400 Lakoland Boulovard		

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Wickliffe, Ohio 44092-2298